

Microplasmas: A Platform Technology for Plasma Applications

Kurt H. Becker

New York University Tandon School of Engineering

Microplasmas are commonly defined as plasmas with at least one dimension in the submillimeter range. Although first introduced a few decades ago, interest and activities in basic microplasma research as well as in the use of such microplasma for a variety of applications has increased significantly only in the last 20 years. Some microplasmas are thermal or “hot”, that is the gas temperature and the electron temperature are roughly the same and are far above room temperature and can reach thousands of degrees K. Another group of microplasmas is nonthermal or “cold”, with gas temperatures much below the electron temperature. A significant fraction of the electrons in a nonthermal plasma have sufficient energy to create high excitation, ionization, and dissociation rates of the atomic and molecular constituents



Fig. 1: Radially outward directed microplasmas used for the decontamination of the inside of a tube.

of the plasma. Research into nonthermal microplasmas has enjoyed an enormous growth in the past two decades [1]. These plasmas are particularly attractive for a plethora of applications [2] because they can be operated stably at high gas pressures, in rare gases as well as in molecular gases and

gas mixtures and can be sustained in a direct current (dc) mode as well as in pulsed dc and in alternate current (ac) modes. Modeling and improved diagnostics have allowed us in the past decade to gain more insight into the specific properties of nonthermal microplasmas. Electron densities exceeding 10^{16} cm^{-3} have been measured in pulsed microplasmas [1]. Gas temperatures, on the other hand, can be close to room temperature at low currents in rare gases and generally reach not more than 2000 K in atmospheric-pressure air microplasmas. With discharge voltages of a few hundred volts and current densities of the order of 10 A/cm^2 (at currents of 1 mA in a microplasma device with a $100 \mu\text{m}$ cathode opening), the power densities in such a microplasma with typical dimensions of $100 \mu\text{m}$ can reach values on the order of 10^5 W/cm^3 [1].

The nonthermal nature of microplasmas in conjunction with the possibility of stable high-pressure operation, high power density, electron energy distributions with a significant number of energetic electrons, low gas temperatures (down to ambient temperature), and the possibility to build two-dimensional arrays without individual ballasting made it possible to create microplasma devices for a wide range of applications. The development of excimer lamps with emissions in the vacuum ultraviolet (VUV) was one of the first application-oriented developments using microplasmas [3]. The same basic mechanism, three-body reactions (that is reactions/collisions involving 3 atoms/molecules), forms the basis for microplasma-based ozone generators [4].

The resistive character of microplasmas under certain operating conditions giving rise to the creation of large microplasma arrays allowed the fabrication of flat panel light sources with more than 10^5 individual microplasmas. Addressable flat panels have also been developed based on the same fabrication method. Another area where microplasmas have been successfully introduced is in material processing, particularly the synthesis of nanoparticles [5,6].

THE PLASMA CONNECTION

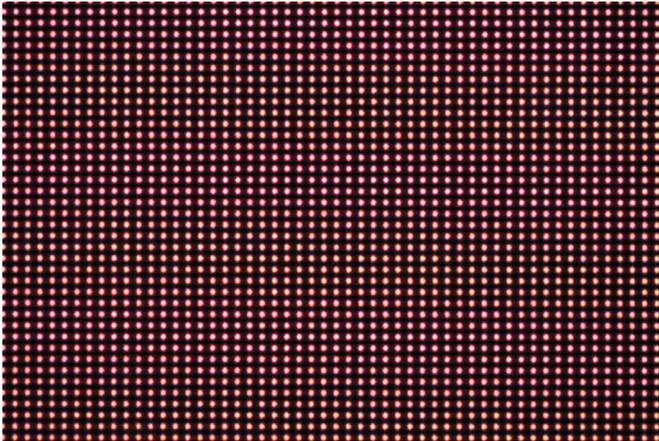


Fig. 2: A 500 x 500 array of microplasmas.

Plasma medicine is an emerging area of application, which has gained strongly in interest by the scientific community. Major advances were made possible by the development of plasma sources that are biocompatible (i.e. satisfy strict conditions in terms of their electrical, chemical, and thermal properties), consist of biocompatible materials, can generate copious amounts of reactive radicals and deliver them to biological tissue via plasma jets. We note that medical applications of plasmas also bear risks, which should be properly assessed [7]. Besides medical applications, studies on environmental applications have been performed (see e.g. Becker [8] and references therein). Lesser known, but important applications of microplasmas, are in the area of detectors [8] and even microthrusters [9].



Fig. 3: Atmospheric-pressure plasma jet interacting with human skin.

It should perhaps be noted that the rapid development of microplasma applications has often occurred by trial-and-error without having a good understanding of the underlying basic science. Plasma applications as diverse as surface modification and functionalization, light sources, ozone generation, pollution control, biological inactivation, sensors

rely on the plasma-initiated generation of chemically reactive species, in particular reactive oxygen and nitrogen species. We only have an understanding of some of the key plasma chemical reaction pathways that drive the process in a few applications. Moreover, in almost all areas of application there is a lack a detailed knowledge of the coupling of the plasma physics to the plasma chemistry. Researchers do not yet have the level of understanding of the basic plasma physics that will allow precise tailoring of the plasma parameters such as plasma composition and gas temperature, power density, electron density, and electron energy distribution to selectively maximize the generation of certain plasma species, while minimizing or suppressing the formation of others. Thus, there is a lack of ability to achieve full control of the plasma chemistry in many applications by making use of insight into the basic plasma physics.

That said, applications can also be powerful drivers and motivators for basic science and the development of new plasma sources. As an example, demands for plasma sources with very application-specific operating parameters and performance characteristics led to the development of a wide range of microplasma sources [1] starting with the original concepts of a microplasma generated between parallel-plate electrodes that included dc microplasmas between a flat cathode and a ring-shaped electrode (so-called cathode boundary layer plasmas), and ac (microwave) microdischarges between parallel edge electrodes. Very quickly, the need arose for generating and sustaining microplasmas in two-dimensional microcavity electrode structures excited by dc, ac, and pulsed power. By now, various microplasma arrays are being used with arrangements where the individual microplasma are operated in series or in parallel.

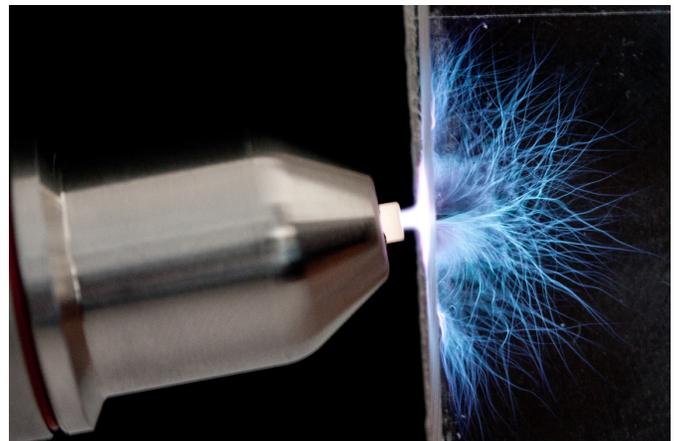


Fig. 4: Atmospheric-pressure plasma jet generating microplasmas on the opposite side of a surface.

THE PLASMA CONNECTION

The need for plasma treatment of objects, whose size and shape did not allow them to be placed in the microplasma gaps for direct place treatment but rather required remote plasma treatment, led to the advent of various types of atmospheric-pressure plasma jets (APPJs) [10]. APPJs have gained wide spread use in the past 10 years. In the rapidly developing field of plasma medicine, the excitement stemming from successful applications such as seeing how the use of a microplasma can accelerate blood coagulation or stimulate wound healing has triggered many studies into identifying the underlying chemical and biological reaction pathways initiated by the plasma.

Text and images by K. Becker; Edited by M. Laroussi

The Plasma Connection is a publication of the IEEE Nuclear and Plasma Sciences Society.

©The IEEE Nuclear and Plasma Sciences Society

References

1. K.H. Schoenbach, K. Becker, Eur. Phys. J. D 70, 29 (2016)
2. K. Becker, Microplasmas, a Platform Technology for a Plethora of Plasma Applications Europ Phys. J. Special Topics, 226, 2853 (2017); see also other articles in this Special Issue 226 "Technological Applications of Microplasmas"
3. U. Kogelschatz, J. Opt. Technol. 79, 484 (2012)
4. K.S. Kim, S.-J. Park, J.G. Eden, J. Phys. D 41, 012004 (2008)
5. D. Mariotti, R.M. Sankaran, J. Phys. D: Appl. Phys. 43, 23001 (2010)
6. R. Wang, S. Zuo, D. Wu, J. Zhang, W. Zhu, K. Becker, J. Fang, Plasma Proc. Poly. 12, 380 (2015)
7. J. Lademann, C. Ulrich, A. Patzelt, H. Richter, F. Kluschke, M. Klebes, O. Lademan, Kramer, K.D. Weltman, B. Lange-Asschenfeldt, Clinical Plasma Medicine 1, 5 (2013)
8. K. Becker, *The Use of Nonthermal Plasmas in Environmental Applications*, chapter 15. In Introduction to Complex Plasmas, Vol. 59 in Series on Atomic, Optical, and Plasma Physics, edited by M. Bonitz, N. Horing, and P. Ludwig (Springer-Verlag, Heidelberg, 2010)
9. M.J. Kushner, J. Phys. D: Appl. Phys. 38, 1633 (2005)
10. M. Laroussi and T. Akan "Arc-free Atmospheric Pressure Cold Plasma Jets: A Review", Plasma Process. Polym., 4, 777 (2007).



ABOUT THE AUTHOR

Kurt Becker is Vice Dean for Research, Innovation, and Entrepreneurship at the New York University Tandon School of Engineering (NYU Tandon) and a Professor of Applied Physics and of Mechanical & Aerospace Engineering. He earned a Diplom in Physik and Dr. rer. nat degree from the Universität des Saarlandes, Saarbrücken, Germany in 1978 and 1981, respectively. He worked extensively in the area of low-temperature plasma physics and technology and published more than 230 papers in peer reviewed journals. He pioneered the field of microplasmas and holds numerous patents on the stabilization of atmospheric-pressure plasma and their technological applications, particularly in the areas of environmental remediation and biomedicine. He was involved in two startup companies that commercialized this technology, one of which was acquired by Stryker Instruments in 2005. He is a Fellow of the American Physical Society and of the National Academy of Inventors, and the recipient of the Dr. Eduard-Martin Prize for Excellence in Research from the Universität des Saarlandes (1982), the Thomas Edison Patent Award (2001), the SASP Erwin Schrödinger Medal (2010), and the New York City & State Sustainability and Environmental Impact Award (2017). He also holds an honorary Professorship at the Leopold Franzens Universität Innsbruck, Austria.